

NOV 1 0 2006

#### North America Intellectual Property Corporation

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From: Winston Hsu, Registration No. 41,526

Serial No.: 10/709,708

Attorney Docket No.: OTMP0078USA

Subject: Information Disclosure Statement (IDS)

Total Pages: 6 pages (including cover page)

Winston Hsu

11/10/2006

### NOV 1 0 2006

PTO/SB/21 (07-06)

Approved for use through 09/30/2006. OM6 0651-0031
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Application Number

10/709,708

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TRANSMITTAL	4	Filing Date	05/24/	2004		
FORM		First Named Inventor	Chien	Hung HSU		
		Art Unit	1732			
(to be used for all correspondence after i	nitial filling)	Examiner Name	WOLL	SCHLAGE	R, JEFFREY MICHAEL	
Total Number of Pages in This Submission	5	Attorney Docket Numbe	TOTMP	0078USA		
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Signature Culturation by	Here e					
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I hereby certify that this correspondence sufficient postage as first class mail in an the date shown below:						
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Typed or printed name Zoe Huang				Date	NOV. 1 0 2008	7

This collection of information is required by 37 CFR 1.5. The information is required to obtain or rotain a banefit by the gubble which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form under suggestions for reducing this builder, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1450.

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### NOV 1 0 2006

P10/99/17 (07-06)

Approved for use through 01/31/2007. OMB 0651-0032

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Effective on 12/08/2004.

Effective on 12/08/2004.

Foes pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

Application Number 10/709,708

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Fo	or FY 20	05		First Named Inve	enter Ch	iien-Hung HS	SU
				Examiner Name	VVC	DLLSCHLAGER,	JEFFREY MICHAEL
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SUBMITTED BY				
	Colemator block	Registration No. 41,526	Telephone	3027291562
Name (Print/Type)	Winston Hsu		Date NO	<u>/ 1 O 2006 </u>

This callection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CPR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, propering, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burdon, should be sent to the Chief information Officer, U.S. Petent and Trademark Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND PEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Sox 1460, Alexandria, VA 22313-1450.

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PTO/SB/08a (07-06)
Approved for use through 09/30/2006, OM8 0661-0031
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	Application Number	10/709,708		
MITTORIA TION DINOL COLUMN	Filing Date	05/24/2004		
INFORMATION DISCLOSURE	First Named Inventor	Chien-Hung HSU		
STATEMENT BY APPLICANT	Art Unit	1732		
(Lise as many sheets as necessary)	Examiner Name	WOLLSCHLAGER, JEFFREY MICHAEL		
Sheet	Attorney Docket Number	OTMP0078USA		

Examiner	Crite	Commont Shirthart	Publication Date	Name of Patentine or Pages, Columns, Lines, Where				
initiale*	No.	Document Number  Number-Kind Code <sup>2 (****</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear			
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"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 60%. Draw line through citation if not in conformance and not considered. Include copy of this form with head communication to applicant a Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.usptp.gov or MPEP 901.04, 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indicated on the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols an indicated on the document under WIPO Standard ST.16 if possible. 5 Applicant is to place a check mark here if English tanguage Translation is attached.

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#### NOV 1 0 2006 -

#### PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chien-Hung Hsu, et al.

5 Appl. No.: 10/709,708

Filing Date: 05/24/2004

Examiner: WOLLSCHLAGER, JEFFREY MICHAEL

Art Unit: 1732

Docket No.: OTMP0078USA

Confirmation No.: 3707

10 Title: Method for forming microstructure optical elements

To: Commissioner for Patents

P.O. BOX 1450

Alexandria, VA 22313-1450

15

Subject: Information disclosure statement under 37 CFR \$1.56

20 Dear Sir,

This is an Information Disclosure Statement in accordance with the duty to disclose information material to patentability under 37 CFR \$1.56. The applicant wishes to make of record the document(s) listed on the accompanying form PTO/SB/08.

Since this IDS is filed after the mailing date of the first Office action but before final Office

30 action/notice of allowance, consideration of the information disclosure statement is hereby requested according to 37 CFR \$1.97(c).

That each item of information contained in the information disclosure statement was first cited in an Office communication mailed on October 13, 2006 for the counterpart China patent application number 03143899.7, which are no more than three months prior to the filing of the information disclosure statement.

It is respectfully requested that the examiner can consider the document(s) listed on the accompanying form PTO/SB/08 and that it be made of record in the application. The applicants sincerely hope that the examiner initials the cited reference(s) on the form and that a copy of the initialed form be sent to the applicants with the next communication from the examiner.

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**30** 

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Respectfully submitted,

20 Wenton Hans

NOV. 1 0 2006

Winston Hsu, Patent Agent No. 41,526

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